

OCT 22 2003  
JC34 301  
P A T E N T

SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 214250US8	SERIAL NO. 10/020,220		
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Kazutaka NARA, et al.			
				FILING DATE December 18, 2001	GROUP 2874		
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
	AO	7-333447	12/22/1995	JAPAN (with English Abstract)	YES	NO	X
	AP	9-189825	07/22/1997	JAPAN (with English Abstract)			X
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	K. OKAMOTO, et al., Optics Letters, vol. 20, no. 1, pages 43-45, "ARRAYED-WAVEGUIDE GRATING MULTIPLEXER WITH FLAT SPECTRAL RESPONSE", January 1, 1995					
	AX						
	AY						
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
Examiner <i>Hemang Singhani</i>		Date Considered 3/16/04					

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.